# IT Audit Services

# Avoid threats, liability, and risks — Secure your information.

## Are you really protected?

**The Dean Dorton IT group's expertise is unmatched by any other local accounting firm.**

Experience auditing controls in various types of ERP and accounting systems including PeopleSoft, SAP, Blackbaud, Oracle and many other general and industry specific systems.

19 local professionals providing internal IT audit services, IT system assessments, PCI compliance, service organization control testing, outsourced IT solutions and various other consulting and advisory services.

Every day, businesses rely more on technology to perform regular business operations and with each day new external and internal cyber predators are on the rise. Keeping your information systems secure from core to perimeter is more critical than ever. Our IT experts evaluate information system control environments, identify the risks, provide a basis for reliance on the system, and deliver cost-effective control recommendations.

Dean Dorton provides IT audit and consulting services for a variety of clients. We specialize in providing unique service offerings that are customized to meet the specialized needs of each client. Many clients have needs that are geared toward internal business focus, industry or regulatory compliance, or demands from clients they serve. Our specialized IT Audit solutions include:

- Outsourced/Co-sourced Internal IT Audit Solutions
- Sarbanes-Oxley 404 Compliance Consulting
- SSAE 16 - Service Organizations Control Reports (formerly SAS 70)

- IT General Controls Testing
- PCI Compliance Consulting
- IT Risk Assessments

Whether you are looking to accelerate the establishment of an Internal Audit function or realize cost savings by moving to an outsourced model, Dean Dorton can provide your organization with a complete outsourced solution to see rapid results. Many organizations who are large enough to have an internal audit department, are often not large enough to employ a full-time IT audit resource — we can fill your need for an internal IT auditor. The breadth and depth of our skilled Internal Audit professionals makes your business more efficient, ensures objectivity, and allows you to focus on your core functions.

## Unique service offerings, customized for specialized client needs.

# Our IT Audit Approach

RISKS

REWARDS

## A — Determine Approach and Scope

We will conduct interviews with management and the Audit Committee to assess the scope of the internal audit plan and how our testing should be performed to best benefit the client. Our previous internal audit experiences will help drive the questions and provide focus for the internal audit plan.

## B — Develop Work Programs

We will develop work programs. The work programs will be consistent with the client needs or any regulatory compliance and will also include key dates and reporting requirements. The work programs will list out the objectives and steps to be performed.

## C — Perform Audit

We form a best-in-class team to meet the audit needs and work with the client to create a schedule that fits their desired timeline. We work very hard to maintain continuity of the engagement team to enhance efficiencies of the work. We use our project management tool, Dean Dorton PM, to actively manage, share status updates, and communicate throughout the project.

## D — Reporting to Audit Committee and Management

At the completion of our testing we provide a draft report to management for its review. The report includes the objectives, findings and recommendations of the project. If appropriate, management will have a chance to respond to any recommendations prior to the report's submission to the Audit Committee.

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DEAN DORTON ALLEN FORD, PLLC

BUSINESS ADVISORS    CPAs    CONSULTANTS

To learn more about our IT audit services contact Jason Miller at 859.425.7626 or jmiller@ddaftech.com.

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